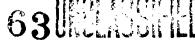
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# FINDING CRITICAL INDEPENDENT SETS AND CRITICAL VERTEX SUBSETS

ARE

POLYNOMIAL PROBLEMS

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# **ABSTRACT**

An independent set  $J_c$  of a graph G is called <u>critical</u> if  $|J_c|-|N(J_c)|=\max\{|J|-|N(J)|: J \text{ is an independent set of G}\}$ , and a vertex subset  $U_c$  is called <u>critical</u> if  $|U_c|-|N(U_c)|=\max\{|U|-|N(U)|: U \text{ is a vertex subset of G}\}$ . In this paper, we will show that to find a critical independent set and a critical vertex subset of a graph are solvable in polynomial time.

Key words: Independent set, Polynomial algorithm AMS subject classification: 05C35, 68R10 Abbreviated title: Critical independent sets

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### § 1. INTRODUCTION

It has been proved by mathematicians that finding a maximum independent set in some certain kinds of graphs is solvable in polynomial time (for example, line graphs, bipartite graphs, circle graphs, circular arc graphs and claw free graphs.(see [GJ])). But it is well-known that it is an NP-complete problem for general graphs.(see [GJS])  $\cap$  In this paper, we will investigate another problem -- finding a certain kind of independent sets in general graphs. An independent set  $J_C$  of a graph G is called critical if  $|J_C| - |N(J_C)|$  is the maximum of |J| - |N(J)| over all independent sets J of G, where N(J) is the set all vertices of G adjacent to some vertex of J. It will be proved in this paper that finding a critical independent set of a graph is solvable in polynomial time. Let

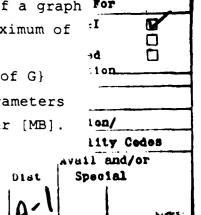
 $\alpha_{\rm C} = {\rm max} \; \{ |{\sf J}| - |{\sf N}({\sf J}) | \; : \; {\sf J} \; {\rm is} \; {\rm an} \; {\rm independent} \; {\rm set} \; {\rm of} \; {\sf G} \}$  which is a parameter of a graph G and is called the <u>critical independence number</u> of G. The critical independence number  $\alpha_{\rm C}$  of a graph plays the central role in the study of fractional independence functions and fractional matching functions of graphs [GZ]. (It is proved in [GZ] that the fractional independence number and the fractional matching

number of a graph G are  $\frac{n-\alpha_{C}}{2}$  and  $\frac{n+\alpha_{C}}{2}$ , respectively, where  $n=\{V(G)\}$ ).

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Some related problems and parameters of graphs will also be investigated in this paper. A vertex subset  $U_{c}$  of a graph G=(V,E) is called <u>critical</u> if  $|U_{c}|-|N(U_{c})|$  is the maximum of |U|-|N(U)| over all vertex subsets U of G. Let

 $\mu_C = \text{max } \{ |U| - |N(U)| : \text{$U$ is a vertex subset of $G$} \}$  which is a parameter of a graph \$G\$. Some similar parameters of graphs have been studied by Woodall [WD] and Mohar [MB].



The binding number b(G) [WD] and the isoperimetric number i(G) [MB] of a graph G are defined as the following:

$$b(G) = \min \left\{ \frac{|N(U)|}{|U|} : U \subseteq V(G), \quad U \neq \emptyset \text{ and } N(U) \neq V(G) \right\};$$

$$i(G) = \min \left\{ \frac{|\partial(U)|}{|U|} : U \subseteq V(G), \quad U \neq \emptyset \text{ and } |U| \leq \frac{|V(G)|}{2} \right\}.$$

(where  $\partial$ (U) is the number of edges of G with one endvertex in U). Later in this paper, it will be proved that  $\alpha_C = \mu_C$ .

Since the empty set is an independent set and the set of all vertices of a graph G is also a vertex subset of G, it is trivial that

$$\alpha_{\rm C} \ge 0$$
 and  $\mu_{\rm C} \ge 0$ 

for any graph G. Note that the empty set and the entire graph are critical vertex subsets of some connected graph G if  $\mu_{\rm C}(G)=0$ . If we are to avoid these two trivial vertex subsets Ø and V(G), we may consider the following parameter of a graph G:

 $\mu_{\mathbf{C}}^{\bullet} = \max \{ |\mathbf{U}| - |\mathbf{N}(\mathbf{U})| : \mathbf{U} \subseteq \mathbf{V}(\mathbf{G}), \mathbf{U} \neq \emptyset \text{ and } \mathbf{U} \neq \mathbf{V}(\mathbf{G}) \}.$ 

But for any connected graph G and a vertex v of G, we have that  $N(V(G)\setminus\{v\})=V(G)$  and therefore the parameter  $\mu_c^+(G)$  still have a lower bound -1. In order to get more information about graphs, we prefer to only consider those vertex subsets U of a graph G such that  $U\neq\emptyset$  and  $N(U)\neq V(G)$  which is similar to the definition of the binding number of graphs. A vertex subset U of G is called <u>proper</u> if  $U\neq\emptyset$  and  $N(U)\neq V(G)$ . A proper vertex subset  $U_{pc}$  of G is called <u>critical</u> if  $|U_{pc}|-|N(U_{pc})|$  is the maximum of |U|-|N(U)| over all proper vertex subsets U of G. The parameter  $\mu_{pc}$  of a graph G is defined as the following:

 $\mu_{pc} = \max \{ |U| - |N(U)| : U \subset V(G), U \neq \emptyset \text{ and } U \neq V(G) \}$ 

The problems will be proved to be solvable in polynomial time in this paper are listed as the following:

**INSTANCE.** let G=(V,E) be a graph with the vertex set V and the edge set E and k be an integer.

**PROBLEM 1.** Is there an independent set J of G such that  $|J| - |N(J)| \ge k?$ 

PROBLEM 1\*. Find a critical independent set  $J_c$  and the critical independence number  $\alpha_c$  of G. (That is to find

$$\alpha_{\rm C} = |J_{\rm C}| - |N(J_{\rm C})|$$

=  $\max \{ |J| - |N(J)| : J \text{ is an independent set of } G \} \}$ .

**PROBLEM 2.** Is there a vertex set U of G such that  $|U| - |N(U)| \ge k$ ?

**PROBLEM 2\*.** Find a critical vertex subset  $U_{\text{c}}$  and the parameter  $\mu_{\text{c}}$  of G. (That is to find

$$\begin{split} \mu_{\text{C}} &= & |U_{\text{C}}| - |N\left(U_{\text{C}}\right)| \\ &= & \max \; \left\{ |U| - |N\left(U\right)| \; : \; U \; \text{is a vertex subset of G} \right\} \; \right). \end{split}$$

**PROBLEM** 3. Is there a proper vertex subset U of G such that  $|U| - |N(U)| \ge k?$ 

PROBLEM 3\*. Find a critical proper vertex subset  $\text{U}_{\text{pc}}$  and the parameter  $\mu_{\text{pc}}$  of G ( That is to find

$$\begin{array}{lll} \mu_{pc} &=& |U_{pc}| - |N\left(U_{pc}\right)| \\ &=& \max \; \left\{ |U| - |N\left(U\right)| \; : \; \; U \subseteq V\left(G\right), \; U \neq \emptyset \; \text{and} \; U \neq V\left(G\right) \right\} \right). \end{array}$$

### § 2. MAIN RESULTS

# THEOREM 1.

Problem 3 and 3\* are solvable in polynomial time.

Before we prove the Theorem 1 we would like to consider the following problems first. And the Theorem 1 will be a corollary of the Theorem 2. **INSTANCE.** Let G=(V,E) be a graph with the vertex set V and the edge set E,  $\{u,v\}$  be an ordered pair of nonadjacent vertices of G and k be an integer.

**PROBLEM 4.** Is there a vertex subset U of G such that  $u \in U$ ,  $v \notin N(U)$  and

 $|U| - |N(U)| \ge k$ ?

**PROBLEM 4\*.** Find a vertex subset  $U_0$  of G such that  $|U_0| - |N(U_0)|$ 

= max {|U|-|N(U)| :  $U \subset V(G)$ ,  $u \in U$  and  $v \notin N(U)$  }. The vertex subset  $U_O$  found in problem 4\* is called (u,v)-critical subset of G.

### THEOREM 2.

The problems 4 and 4\* are solvable in polynomial time.

The following lemmas will be used in the proof of the Theorem 2.

LEMMA 3. (Hall's Theorem [HP])

Let  $B=(V_1,V_2; E)$  be a bipartite graph. The graph B has a matching covering all vertices of  $V_2$  if and only if  $|U| \le |N(U)|$  for any subset U of  $V_2$ .

# LEMMA 4.

Let  $B = (V_1, V_2; E)$  be a bipartite graph. Assume that there is no matching of B covering all vertices of  $V_2$ . We will have the following conclusions:

(i). There is a subset U of  $V_2$  such that |N(U)| < |U|; (ii). Let  $U_0$  be a subset of  $V_2$  such that  $|U_0| - |N(U_0)|$  is as great as possible, then there is a matching of the induced bipartite subgraph  $(U_0, (N(U_0); E[U_0, N(U_0)])$  covering all vertices of  $N(U_0)$ .

Note, if A and B are a pair of disjoint vertex subset of a graph G, the set of all edges joining A and B is denoted by  $E\left(A,B\right)$ .

### PROOF

The conclusion of (i) is an immediate corollary of Hall's Theorem.

Let U be a subset of  $V_2$  such that |U| - |N(U)| is as great as possible. Let B' = (U, N(U); E[U, N(U)]) be the subgraph of B induced by  $U \cup N(U)$ . We claim that  $|X| \le |N(X) \cap U|$  for any subset X of N(U). If not, let  $X \subseteq N(U)$  such that

$$|X| > |N(X) \cap U|$$
.

We will consider the subset  $Y = U \setminus N(X)$ . Note that

$$N(Y) = N(U\backslash N(X)) \subseteq N(U)\backslash X.$$

And

$$|Y| - |N(Y)| \ge |U/N(X)| - |N(U)/X|$$

$$= [|U| - |U \cap N(X)|] - [|N(U)| - |X|]$$

$$= [|U| - |N(U)|] + [|X| - |U \cap N(X)|]$$

$$> |U| - |N(U)|.$$

This contradicts the choice of U that |U| - |N(U)| is maximum. So by Hall's theorem, there is a matching in B' which covers all vertices of N(U).

###

### PROOF OF THE THEOREM 2.

We are only to prove that problem 4\* is solvable in polynomial time. Let G=(V,E) be a graph with the vertex set  $V=\{1,2,3,\ldots,n\}$  and the edge set E. We will consider the ordered pair of vertices (1,2) of G and find a (1,2)-critical vertex subset.

Define a bipartite graph  $B = (X, Y; E_B)$  where

 $X = \{x_1, \ldots, x_n\},\$ 

 $Y = \{y_1, \ldots, y_n\}$ 

and  $E_B = \{(x_i, y_j): (i, j) \text{ is an edge of }$ 

G}.

Let V' be a subset of V(G), then the corresponding subsets in X and Y are denoted by X(V') and Y(V'), respectively. For example, if  $V'=\{i_1,\ldots,i_t\}$ , then

 $X(V') = X(\{i_1, ..., i_t\}) = \{x_{i_1}, ..., x_{i_t}\}$  and

 $Y(V')=Y(\{i_1,\ldots,i_t\})=\{y_{i_1},\ldots,y_{i_t}\}.$  (Here X and Y can be considered as bijections mapping  $\{1,2,\ldots,n\}$  onto  $\{x_1,\ldots,x_n\}$ 

and  $\{y_1, \ldots, y_n\}$ ). If  $W=\{x_{i_1}, \ldots, x_{i_t}\} \subset X$  (or

 $W=\{y_{i_1},\ldots,y_{i_t}\}\subset Y\}$ , then the corresponding subset  $\{i_1,\ldots,i_t\}$  of V(G) is denoted by  $X^{-1}(W)$  (or  $Y^{-1}(W)$ , respectively). The set of all neighbors of a vertex u in B is denoted by  $N_B(u)$ . If i is a vertex of G, then

 $N_{B}(x_{i}) = \{y_{i} \in Y: (x_{i}, y_{i}) \in E_{B}\} = \{y_{i} \in Y: (i, j) \in E(G)\} = Y(N(i)).$ 

A weight w:  $X \cup Y \rightarrow [0,2]$  is called a (1,2)-proper weight of B if

 $w(x_1) = 2$ ,

 $w(y_2) = 1$ ,

 $1 \le w(x_i) \le 2$  for each vertex  $x_i \in X$ ,

 $0 \le w(y_i) \le 1$  for each vertex  $y_i \in Y$ 

and  $0 \le w(x_i) + w(y_i) \le 2$  for each edge  $(x_i, y_i) \in E_B$ .

The total weight  $\sum w(u)$  of B is denoted by w(B). A (1,2) -  $u \in X \cup Y$ 

proper weight wm of B is call optimum if

 $w_m(B) = \max\{w(B): w \text{ is a } (1,2)\text{-proper weight of } B\}.$  It is obvious that finding an optimum (1,2)-proper weight of B is a linear programing problem. Hence it is solvable in polynomial time. The purpose of the investigation of an optimum (1,2)-proper weight  $w_m$  of B is to prove that the vertex subset  $\{i \in V(G): w_m(x_i) > 1\}$  is a (1,2)-critical subset of G.

I. Let  $w_m$  be an optimum (1,2)-proper weight of B and  $U_o$  be a (1,2)-critical vertex subset of G. We claim that

$$w_m(B) \ge 2n + |U_0| - |N(U_0)| = 2n + \alpha_C$$
(1)

Consider the following weight  $w_1$  of B:

$$w_1(x_i) = \begin{cases} 2 & \text{if } i \in U_0 \\ 1 & \text{otherwise} \end{cases}$$
 and  $w_1(y_j) = \begin{cases} 0 & \text{if } j \in N(U_0) \\ 1 & \text{otherwise} \end{cases}$ 

It is easy to see that  $w_1$  is a (1,2)-proper weight of B and  $w_1(B) = 2|U_0| + (n-|U_0|) + (n-|N(U_0)|)$   $= 2n + |U_0| - |N(U_0)|.$ 

By the choice of  $w_m$ , we have verified the inequality (1).

II. Let 
$$X_b = \{x_i: w_m(x_i) > 1\}$$
  
 $Y_s = \{y_i: w_m(y_i) < 1\}$   
 $X_b : = \{x_i: 1 < w_m(x_i) < 2\}$   
 $Y_s : = \{y_i: 0 < w_m(y_i) < 1\}$ .

and

By the definition of (1,2)-proper weight , it is obvious that  $N_B(X_b)\subseteq Y_s \text{ and } N_B(Y_{s'})\subseteq [X\backslash X_b] \, \cup \, X_{b'}.$ 

III. Case 1. Suppose that there is a matching M in the induced subgraph  $B(X_b, \cup Y_s)$  covering all vertices of  $Y_s$ . We claim that  $X_b$  is a (1,2)-critical vertex subset in this case. We are to adjust the weight  $w_m$  so that the new weight of each vertex in  $X_b$ , is two and the new weight of each vertex in  $Y_s$ , is one. If we can verify that this new weight is optimum, by the inequality (1), it can be shown that  $X^{-1}(X_b)$  is a (1,2)-critical vertex set of G.

If (u,v) is an edge of M, then let u=M(v) and v=M(u). And the sets of vertices of  $X_{b^i}$  covered and not covered by M is denoted by  $M(Y_{s^i})$  and  $X_{b^i}\setminus M$ , respectively. Thus  $X_{b^i}\cup Y_{s^i}=Y_{s^i}\cup M(Y_{s^i})\cup (X_{b^i}\setminus M)$  since M covers all vertices of  $Y_{s^i}$ .

Consider the following weight w2 of B:

$$w_{2}(v) = \begin{cases} 2 & \text{if } v \in X_{b}, \\ 0 & \text{if } v \in Y_{s}, \\ w_{m}(v) & \text{otherwise} \end{cases}$$

Since any vertex of Y adjacent to a vertex  $x_i$  of  $X_b$ , must be in  $Y_s$  in which the weight of each vertex is zero,  $w_2$  is a (1,2)-proper weight of B. Note that  $w_m$  is optimum and the total weight  $w_m(B)$  cannot be less than  $w_2(B)$ . We claim that  $w_2$  is also an optimum (1,2)-proper weight of B by proving that  $w_m(B) \le w_2(B)$ . Since

$$\{v \in X \cup Y: w_m(v) \neq w_2(v)\} = X_{b'} \cup Y_{s'}$$

we must have that

$$w_{m}(B) - w_{2}(B) = \sum_{v \in X_{b}} [w_{m}(v) - w_{2}(v)] + \sum_{v \in Y_{S}} [w_{m}(v) - w_{2}(v)]$$

$$= \sum_{v \in Y_{S}} \{ [w_{m}(v) - w_{2}(v)] + [w_{m}(M(v)) - w_{2}(M(v))] \} + \sum_{v \in X_{b} \setminus M} [w_{m}(v) - w_{2}(v)].$$

Here

$$[w_{m}(v) - w_{2}(v)] + [w_{m}(M(v)) - w_{2}(M(v))]$$

$$= [w_{m}(v) - w_{m}(M(v))] - [w_{2}(v) - w_{2}(M(v))]$$

$$\leq 2 - (0+2)$$

$$= 0$$

for any  $v \in Y_{s'}$ , and

$$w_m(v) - w_2(v) < 2-2 = 0$$

for any  $v \in X_{b'} \setminus M$ . This implies that  $w_m(B) - w_2(B) \le 0$ .

Therefore  $w_2$  is also an optimum (1,2)-proper weight of B and  $w_m(B) = w_2(B)$ . The total weight of  $w_2$  is

$$w_2(B) = 2|X_b| + |X \setminus X_b| + |Y \setminus Y_s|$$
.

Since  $N_B(X_b) \subseteq Y_s$ , we must have that

$$\begin{array}{lll} w_m(B) &=& w_2(B) \leq & 2 \, |\, X_b \, | \, + \, (n - |\, X_b \, |\, ) \, + \, (n - |\, N \, (X_b) \, |\, ) \\ \\ &=& 2n \, + \, |\, X_b \, | \, - \, |\, N \, (X_b) \, |\, \\ \\ &=& 2n \, + \, |\, X^{-1} \, (X_b) \, |\, - \, |\, N \, (X^{-1} \, (X_b) \, )\, |\, \\ \\ &\leq& 2n \, + \, \alpha_c \quad (by \, the \, definition \, ) \end{array}$$

of  $\alpha_{c}$  ).

By (1), all equalities hold and therefore  $X^{-1}(X_b) = \{i \in V(G) : w_m(x_i) > 1\}$  is a (1,2)-critical vertex subset of G.

IV. Case 2. If there is no matching of  $B(X_{b'} \cup Y_{s'})$  covering all vertices of  $Y_{s'}$ . By Lemma 4, there is a subset  $Y_0$  of  $Y_{s'}$  such that (i).  $|Y_0| > |N_B(Y_0) \cap X_{b'}|$  and, (ii). there is a matching M' in the induced bipartite subgraph  $B(Y_0 \cup [N_B(Y_0) \cap X_{b'}])$  covering all vertices of  $N_B(Y_0) \cap X_{b'}$ . We are to adjust the weight  $w_m$  so that the new weight of each vertex in  $Y_0 \cup [N_B(Y_0) \cap X_{b'}]$  is one. We will find that the new weight is greater than  $w_m$ . It will contradicts that  $w_m$  is optimum.

Consider the following weight w3:

$$w_3(v) = \begin{cases} 1 & \text{if } v \in Y_0 \cup [N_B(Y_0) \cap X_{b'}] \\ w_m(v) & \text{otherwise} \end{cases}$$

The weight  $w_3$  is (1,2)-proper since  $x_1 \notin N(Y_{S^i})$  and any vertex adjacent to a vertex of  $Y_0$  must be in  $[X \setminus X_b] \cup [N_B(Y_0) \cap X_{b^i}]$  in which the weight of each vertex is one. Note that  $w_m$  is an optimum (1,2)-proper weight of B, thus the total weight of  $w_m$  cannot be less than the total weight of  $w_3$ . Since

$$\{v \in V(B): w_m(v) \neq w_3(v)\}\subseteq Y_0 \cup [N_B(Y_0) \cap X_{b'}]$$

 $= [N_B(Y_o) \cap X_{b^*}] \cup M'[N_B(Y_o) \cap X_{b^*}] \cup [Y_o \setminus M'],$  where  $M'[N_B(Y_o) \cap X_{b^*}]$  and  $[Y_o \setminus M']$  are the sets of vertices of  $Y_o$  covered and not covered by M', respectively. Thus we must have that

$$\begin{split} & w_{m}\left(B\right) - w_{3}\left(B\right) \\ & = \sum_{v \in N_{B}(Y_{O}) \cap X_{D}} \left[ w_{m}\left(v\right) - w_{3}\left(v\right) \right] + \sum_{v \in Y_{O}} \left[ w_{m}\left(v\right) - w_{3}\left(v\right) \right] \\ & = \sum_{v \in N_{B}(Y_{O}) \cap X_{D}} \left\{ \left[ w_{m}\left(v\right) - w_{3}\left(v\right) \right] + \left[ w_{m}\left(M\left(v\right)\right) - w_{3}\left(M\left(v\right)\right) \right] \right\} + \\ & + \sum_{v \in Y_{O}\setminus M'} \left[ w_{m}\left(v\right) - w_{3}\left(v\right) \right]. \end{split}$$

But

$$[w_m(v)-w_3(v)] + [w_m(M(v))-w_3(M(v))]$$

$$= [w_m(v) - w_m(M(v))] - [w_3(v) - w_3(M(v))]$$

$$\leq 2 - (1+1)$$

$$= 0$$

for any  $v \in N_B(Y_0) \cap X_{b'}$ , and  $w_m(v) - w_3(v) < 1-1 = 0$ 

for any  $v \in Y_0 \setminus M'$ . Since  $|Y_0| > |N_B(Y_0) \cap X_{b'}|$ , the set  $Y_0 \setminus M'$  is not empty and we have that  $w_m(B) - w_3(B) < 0$ . This contradicts that  $w_m$  is optimum and completes the proof of the theorem.

###

# Proof of the Theorem 1.

For any ordered pair of non-adjacent vertices  $\{u,v\}$  of G, by Theorem 2, we can find a (u,v)-critical subset in polynomial time. The (u,v)-critical subset is denoted by  $U_{C(u,v)}$ . Then choose a  $(u_0,v_0)$ -critical vertex subset  $U_{C(u_0,v_0)}$  such that

 $|U_{C}(u_{O}, v_{O})| - |N(U_{C}(u_{O}, v_{O}))|$ 

 $=\max\{|\text{UC}(u,v)|-|\text{N}(\text{UC}(u,v))|: (u,v) \text{ are an ordered} \\ \text{pair of nonadjacent vertices of G}\} \\ \text{which is a proper critical subset of G desired in problem } 3^*. \\ \text{The total cost of finding a critical proper vertex subset is polynomial since the cost of finding a(u,v)-critical vertex subset is polynomial and the number of pairs of non-adjacent vertices in G is at most <math>\binom{n}{2}$ .

###

### Theorem 5.

Problem 2 and 2\* are solvable in polynomial time.

# Proof.

Let G=(V,E) be a graph. Consider a new graph G' by adding two isolated vertices  $x,\ y$  to G. Let  $U_C$  be a (x,y)-

critical subset of G'. Obviously,  $x,y\in U_C$  and it is clear that  $U_C\setminus\{x,y\}$  is a critical subset of G.

Alternating proof of the Theorem: Define a bipartite graph B =  $(X,Y;E_B)$  where  $X=\{x_1,\ldots,x_n\}$ ,  $Y=\{y_1,\ldots,y_n\}$  and  $E_B=\{(x_i,y_j): (i,j) \text{ is an edge of G}\}$ . And assign a weight w to the vertex set of B such that w:  $X\cup Y\to [0,2]$  and

 $1 \le w(x_i) \le 2$  for each vertex  $x_i \in X$ ,

 $0 \le w(y_i) \le 1$  for each vertex  $y_i \in Y$ 

and  $0 \le w(x_i) + w(y_i) \le 2$  for each edge  $(x_i, y_i) \in E_B$ .

The total weight  $\sum w(u)$  is denoted by w(B). Let  $u \in X \cup Y$ 

 $w_m(B) = max\{w(B): w \text{ is a weight of } B \text{ satisfies the above definition}\}.$ 

By an argument similar to the proof of Theorem 2, we can prove that the set  $V_b=\{i\in V(G): w_m(x_i)>1\}$  is an critical vertex subset of G.

###

# Theorem 6.

Problem 1 and 1\* are solvable in polynomial time.

Before the proof of the Theorem 6, we will prove a Theorem by which Theorem 6 is an immediate corollary.

### Theorem 7.

Let G=(V,E) be a graph.

(i). Let  $U_C$  be a critical vertex subset of G and  $T_1, \ldots, T_h$  be all non-trivial components of the induced subgraph  $G(U_C)$ . Then  $J=V(U_C)\setminus [V(T_1)\cup\ldots\cup V(T_h)]$  is a critical independent set of G and  $|J|-|N(J)|=|U_C|-|N(U_C)|$ .

(ii).  $\max \{|J|-|N(J)| : J \text{ is an independent set of } G\}$   $= \max \{|U|-|N(U)| : U \text{ is a vertex subset of } G\}.$ 

That is, any critical independent set is also a critical vertex subset of G and therefore  $\alpha_c = \mu_c$ .

### Proof.

It is obvious that  $0 \le \alpha_C = \max \; \{ |J| - |N(J)| \; : \; J \; \text{is an independent set of G} \}$   $\le \max \; \{ |U| - |N(U)| \; : \; U \; \text{is a vertex subset of G} \} = \mu_C$   $\dots \dots (2)$  since any independent set is a vertex subset of G.

Let  $U_C$  be a critical vertex subset of G. The Theorem is trivial if  $U_C$  is an empty set. Assume that  $U_C$  is a counterexample to the Theorem containing minimum number of vertices. By the assumption  $U_C$  cannot be an independent set of G. Let T be a non-trivial component of the induced subgraph  $G(U_C)$ . It is clear that  $V(T) \subseteq N(T)$  since T is not a singleton. Thus

$$|U_{C} \setminus T| - |N(U_{C} \setminus T)| \ge [|U_{C}| - |T|] - [|N(U_{C}| - |T|]]$$
  
=  $|U_{C}| - |N(U_{C})|$ 

It implies that  $U_c\backslash T$  is also a critical vertex subset of G which contains less number of vertices than  $U_c$ . It contradicts the choice of  $U_c$  and therefore completes the proof of the Theorem.

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### Proof of the Theorem 6.

Let  $U_C$  be a critical vertex subset of G. Let  $T_1, \ldots, T_t$  be all non-trivial components of the induced subgraph  $G(U_C)$ . Then by Theorem 7,  $U_C \setminus \{T_1, \ldots, T_t\}$  is a critical independent

set of G. Since finding a critical vertex subset  $U_C$  and deleting all vertices of  $U_C$  incident with some edge of  $G(U_C)$  only need polynomial cost, finding a critical independent set is solvable in polynomial time.

Alternating proof of the Theorem (also see [GZ]):

Consider a weight w:  $V(G) \rightarrow [0,1]$  such that

 $0 \le w(v) \le 1$  for each vertex v of G and  $w(u) + w(v) \le 1$  for each edge (u, v) of G

The total weight  $\sum w(u)$  is denoted by w(G). Let  $u \in V(G)$ 

 $w_m(G) = max\{w(G): w \text{ is a weight of B satisfies the above definition}\}.$ 

Obviously finding  $w_m$  is a linear programing problem. By an argument similar to the proof of Theorem 2, we can prove that the set  $V_b = \left\{ v \in V(G) : w_m(v) > \frac{1}{2} \right\}$  is an critical independent set of G.

(Note that the weight w defined above is called a fractional independence function of a graph G which was introduced by Domke, Hedetniemi, Laskar in [DHL] and by Grinstead, Slater in [GS2], and were studied by Grinstead, Slater in [GS1] and by Zhang in [CG].)

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Note, S. Poljak (personal communication) recently suggested an alternative proof of Theorem 2 by the theory of integer programing.

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